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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q56799

Masami HATORI

Appln. No.: 09/533,678

Group Art Unit: 2828

Confirmation No.: 1082

Examiner: Tuan M. Nguyen

Filed: March 23, 2000

For: SEMICONDUCTOR LASER MODULE AND METHOD FOR FORMING  
SEMICONDUCTOR LASER MODULE

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby  
notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached  
PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem  
material to patentability of the claims of the above-identified application.

U.S. Patent No. 5,838,486, issued November 17, 1998.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (without a Statement Under  
37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the  
mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

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INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/533,678

Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.


Respectfully submitted,

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

  
Susan Perng Pan  
Registration No. 41,239

Date: October 31, 2003



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(Use as many sheets as necessary)

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Confirmation Number	1082
Filing Date	March 23, 2000
First Named Inventor	Masami HATORI
Art Unit	2828
Examiner Name	Tuan M. Nguyen
Attorney Docket Number	Q56799

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Date Considered

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